

ESTATE PLANNING QUESTIONNAIRE

I. Personal Data

A. Client's Full Name _____

Address _____

Phone (H) _____ (W) _____

Date of Birth _____ Social Security Number _____

Prior Marriage _____ Citizenship _____

B. Name of Spouse _____

Phone (W) _____

Date of Birth _____ Social Security Number _____

Prior Marriage _____ Citizenship _____

C. Children

1. Child's Name _____ Date of Birth _____

Address _____

Child's Spouse's Name _____

Child's Children _____ Age _____

Child's Children _____ Age _____

Child's Children _____ Age _____

2. Child's Name _____ Date of Birth _____

Address _____

Child's Spouse's Name _____

Child's Children _____ Age _____

Child's Children _____ Age _____

Child's Children _____ Age _____

3. Child's Name _____ Date of Birth _____

Address _____

Child's Spouse's Name _____

Child's Children _____ Age _____

Child's Children _____ Age _____

Child's Children _____ Age _____

4. Child's Name _____ Date of Birth _____

Address _____

Child's Spouse's Name _____

Child's Children _____ Age _____

Child's Children _____ Age _____

Child's Children _____ Age _____

II. Annual Income

	<u>Employment</u>	<u>Social Security</u>	<u>Pension</u>	<u>Investment</u>	<u>Other</u>	<u>Total</u>
Client	_____	_____	_____	_____	_____	_____
Spouse	_____	_____	_____	_____	_____	_____
Total Annual Income \$						_____

III. Assets and Liabilities

A. **Cash Accounts**

	<u>Description</u>	<u>Value</u>	<u>Owner</u>
1.			
2.			
3.			
4.			
5.			
Total Cash Accounts \$			_____

B. **Brokerage Accounts**

	<u>Description</u>	<u>Value</u>	<u>Owner</u>
1.			
2.			
3.			
4.			
5.			
Total Brokerage Accounts \$			_____

C. Stocks and Bonds (held outside of Brokerage Accounts)

	<u># of Shares or Amount</u>	<u>Name of Company or Issuer</u>	<u>Value</u>	<u>Owner</u>
1.				
2.				
3.				
4.				
5.				
6.				
7.				
8.				
9.				
10.				

Total Stocks and Bonds \$ _____

D. Real Estate

	<u>Address</u>	<u>Approximate Value</u>	<u>Balance of Mortgage</u>	<u>Owner</u>
1.				
2.				
3.				
4.				

Total Real Estate Equity \$ _____

E. Business Interests

	<u>Name of Business</u>	<u>Value</u>	<u>Owner</u>
1.			
2.			
3.			
4.			

Total Business Interests \$ _____

F. Family Limited Liability Companies / Family Limited Partnerships

	<u>Name of FLLC / FLP</u>	<u>Value</u>	<u>Owner</u>
1.			
2.			
3.			
4.			

Total FLLC / FLP Interests \$ _____

G. Notes and Accounts Receivable

	<u>Description</u>	<u>Value</u>	<u>Owner</u>
1.			
2.			
3.			
4.			

Total Notes / Accounts Receivable \$ _____

- 4.
- 5.
- 6.
- 7.
- 8.

Total Retirement Plans \$ _____

K. Non-Qualified Annuities (not IRAs)

	<u>Company</u>	<u>Owner</u>	<u>Annuitant</u>	<u>Beneficiary</u>	<u>Basis</u>	<u>Value</u>
1.						
2.						
3.						
4.						

Total Annuities \$ _____

L. Liabilities (other than Mortgages)

	<u>Name of Lender</u>	<u>Type of Account</u>	<u>Balance Owed</u>
1.			
2.			
3.			
4.			

Total Liabilities \$ _____

M. Summary of Assets and Liabilities

- A. Cash Accounts \$ _____
- B. Brokerage Accounts _____
- C. Stocks and Bonds _____
- D. Real Estate Equity _____
- E. Business Interests _____
- F. FLPs / FLLCs _____
- G. Notes / Accounts
Receivable _____
- H. Other Assets _____
- I. Life Insurance _____
- J. Retirement Plans _____
- K. Annuities _____
- L. Liabilities (_____)
- Total Net Worth \$ _____