

ESTATE PLANNING QUESTIONNAIRE

I. Personal Data

A. Client's Full Name _____

Address _____

Phone (H) _____ (W) _____

Date of Birth _____ Social Security Number _____

Prior Marriage _____ Citizenship _____

B. Name of Spouse _____

Phone (W) _____

Date of Birth _____ Social Security Number _____

Prior Marriage _____ Citizenship _____

C. Children

1. Child's Name _____ Date of Birth _____

Address _____

Child's Spouse's Name _____

Child's Children _____ Age _____

Child's Children _____ Age _____

Child's Children _____ Age _____

2. Child's Name _____ Date of Birth _____

Address _____

Child's Spouse's Name _____

Child's Children _____ Age _____

Child's Children _____ Age _____

Child's Children _____ Age _____

3. Child's Name _____ Date of Birth _____

Address _____

Child's Spouse's Name _____

Child's Children _____ Age _____

Child's Children _____ Age _____

Child's Children _____ Age _____

4. Child's Name _____ Date of Birth _____

Address _____

Child's Spouse's Name _____

Child's Children _____ Age _____

Child's Children _____ Age _____

Child's Children _____ Age _____

II. Annual Income

| | <u>Employment</u> | <u>Social Security</u> | <u>Pension</u> | <u>Investment</u> | <u>Other</u> | <u>Total</u> |
|-----------------------|-------------------|------------------------|----------------|-------------------|--------------|--------------|
| Client | _____ | _____ | _____ | _____ | _____ | _____ |
| Spouse | _____ | _____ | _____ | _____ | _____ | _____ |
| Total Annual Income\$ | | | | | | _____ |

III. Assets and Liabilities

A. **Cash Accounts**

| | <u>Description</u> | <u>Value</u> | <u>Owner</u> |
|------------------------|--------------------|--------------|--------------|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |
| Total Cash Accounts \$ | | | _____ |

B. **Brokerage Accounts**

| | <u>Description</u> | <u>Value</u> | <u>Owner</u> |
|-----------------------------|--------------------|--------------|--------------|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |
| Total Brokerage Accounts \$ | | | _____ |

C. **Stocks and Bonds** (held outside of Brokerage Accounts)

| | <u># of Shares or Amount</u> | <u>Name of Company or Issuer</u> | <u>Value</u> | <u>Owner</u> |
|---------------------------|----------------------------------|--------------------------------------|--------------|--------------|
| 1. | | | | |
| 2. | | | | |
| 3. | | | | |
| 4. | | | | |
| 5. | | | | |
| 6. | | | | |
| 7. | | | | |
| 8. | | | | |
| 9. | | | | |
| 10. | | | | |
| Total Stocks and Bonds \$ | | | _____ | |

D. Real Estate

| | <u>Address</u> | <u>Approximate Value</u> | <u>Balance of Mortgage</u> | <u>Owner</u> |
|-----------------------------|----------------|------------------------------|--------------------------------|--------------|
| 1. | | | | |
| 2. | | | | |
| 3. | | | | |
| 4. | | | | |
| Total Real Estate Equity \$ | | | _____ | |

E. Business Interests

| | <u>Name of Business</u> | <u>Value</u> | <u>Owner</u> |
|----|-------------------------|--------------|--------------|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |

Total Business Interests \$ _____

F. Family Limited Liability Companies / Family Limited Partnerships

| | <u>Name of FLLC / FLP</u> | <u>Value</u> | <u>Owner</u> |
|----|---------------------------|--------------|--------------|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |

Total FLLC / FLP Interests \$ _____

G. Notes and Accounts Receivable

| | <u>Description</u> | <u>Value</u> | <u>Owner</u> |
|----|--------------------|--------------|--------------|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |

Total Notes / Accounts Receivable \$ _____

H. Other Assets

| | <u>Description</u> | <u>Value</u> | <u>Owner</u> |
|----|--------------------|--------------|--------------|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

Total Other Assets \$ _____

I. Life Insurance Policies Owned by Client and Spouse

| | <u>Name of Insurance Co.</u> | <u>Owner</u> | <u>Insured</u> | <u>Type</u> | <u>Death Benefit</u> | <u>Cash Value</u> | <u>Designated Beneficiary</u> |
|----|------------------------------|--------------|----------------|-------------|----------------------|-------------------|-------------------------------|
| 1. | | | | | | | |
| 2. | | | | | | | |
| 3. | | | | | | | |
| 4. | | | | | | | |
| 5. | | | | | | | |
| 6. | | | | | | | |

Total Life Insurance Death Benefits \$ _____

J. Retirement Plans, IRAs and 401(k) Plans

| | <u>Company</u> | <u>Plan Type</u> | <u>Value</u> | <u>Participant/ Plan Owner</u> |
|----|----------------|------------------|--------------|--------------------------------|
| 1. | | | | |
| 2. | | | | |
| 3. | | | | |

- 4.
- 5.
- 6.
- 7.
- 8.

Total Retirement Plans \$ _____

K. Non-Qualified Annuities (not IRAs)

| | <u>Company</u> | <u>Owner</u> | <u>Annuitant</u> | <u>Beneficiary</u> | <u>Basis</u> | <u>Value</u> |
|----|----------------|--------------|------------------|--------------------|--------------|--------------|
| 1. | | | | | | |
| 2. | | | | | | |
| 3. | | | | | | |
| 4. | | | | | | |

Total Annuities \$ _____

L. Liabilities (other than Mortgages)

| | <u>Name of Lender</u> | <u>Type of Account</u> | <u>Balance Owed</u> |
|----|-----------------------|------------------------|---------------------|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |

Total Liabilities \$ _____

M. Summary of Assets and Liabilities

- A. Cash Accounts \$ _____
- B. Brokerage Accounts _____
- C. Stocks and Bonds _____
- D. Real Estate Equity _____
- E. Business Interests _____
- F. FLPs / FLLCs _____
- G. Notes / Accounts
Receivable _____
- H. Other Assets _____
- I. Life Insurance _____
- J. Retirement Plans _____
- K. Annuities _____
- L. Liabilities (_____)
- Total Net Worth \$ _____